

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	Application of:)
D'AM	IATO ET AL.) Art Unit: Unassigned
Serial	No. 10/789,471)
Filed:	FEBRUARY 27, 2004)
For:	ESTROGENIC COMPOUNDS AS ANTI-MITOTIC AGENTS)))

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citation of information on the attached Form PTO/SB/08 is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed with the exception that copies of U.S. patents are not being provided pursuant to the waiver of 37 C.F.R. for patent applications filed after June 30, 2003.

Related applications are listed on the attached Supplemental Appendix A for your reference. Applicants respectfully request that the Examiner review the applications listed in Appendix A, initial Appendix A in the appropriate spaces, and return a copy of the initialized Appendix to Applicants.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope andressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 5th day of May, 2004.

Robert E. Richards - Reg. No. 29,105

ATLLIB02 157327.1

U.S. Application No: 10/789,4371.

Filed: February 27, 2004

Information Disclosure Statement pg. 2

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submite

Robert E. Richards

Reg. No. 29,105

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Our Docket: 05213-3001 (43170-295490)

PATENTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	ation of:)
D'AMATO	ET AL.) Art Unit: Unassigned
Serial No.	10/789,471))
Filed:	FEBRUARY 27, 2004))
For: ESTE	ROGENIC COMPOUNDS AS ANTI-MITOTIC))

APPENDIX A

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the Examiner review the below-listed patent applications. Please review and initial each listed application and return a copy of the initialed Supplemental Appendix A to the Applicants. For convenience, copies of non-published applications are included.

The serial numbers and/or publication numbers of the below-listed U.S. patent applications are listed on this form as provided in MPEP §609(D), rather than a PTO-1449 form, to avoid the serial numbers of the pending U.S. patent applications being published on any patent that may issue from this application. Applicants do not waive the confidential nature of any of the below-listed patent applications.

 _ 1.	U.S. Application Serial No. 09/641,327 filed August 18, 2000
 _ 2.	U.S. Application Serial No. 09/644,387 filed August 23, 2000
 _ 3.	U.S. Application Serial No. 09/780,650 filed February 12, 2001
4.	U.S. Application Serial No. 10/354,921 filed January 30, 2003



Application No: 10/789,471

Appendix A

 _ 5.	U.S.	Application	Serial No. 10	/610,7	48 fil	led July 1, 2003			
 _ 6.	US.	Application	Serial No. 10)/635,	846 fi	iled August 5, 20	03		
 7.	U.S.	Published A	pplication No.	US 2	002/0	0002294 A1 publ	ished	1/3/2002	
 _ 8.		Published 1/2002	Application	No.	US	2002/0082433	A1	published	(·
 9.		Published 0/2002	Application	No.	US	2002/0119959	A1	published	
 _ 10.		Published 1/2002	Application	No.	US	2002/0147183	A1	published	
 _ 11.		Published 7/2002	Application	No.	US	2002/0165212	A1	published	
_12.		Published 1/2003	Application	No.	US	2003/0096800	A1	published	
 _ 13.		Published /2003	Application	No.	US	2003/0236439	A1	published	
 _ 14.	U.S. 4/15/2		Application	No.	US	2004/0072813	A1	published	

Respectfully Submitted

By: Robert E. Richards

Reg. No. 29,105

KILPATRICK STOCKTON LLP 1100 Peachtree Road Suite 2800 Atlanta, Georgia 30309/4530 (404) 815-6500

Our Docket: 052130-3001 (43170-295490)

Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute or Form 1449/A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 27

	Comp	lete if Known
ı	Application No.	10/789,471
	Filing Date	02/27/2004
	First Named Inventor	D'Amato
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	05213-3001 (43170-295490)

				S. PATENT DOCUMENTS		
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Complete if Known 10/789,471 Application No. Substitute for Form 1449/A/PTO 02/27/2004 Filing Date INFORMATION DISCLOSURE First Named Inventor D'Amato STATEMENT BY APPLICANT Group Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned 2 of 27 05213-3001 (43170-295490) Sheet **Attorney Docket Number** FOREIGN PATENT DOCUMENTS Foreign Patent Document **Date of Publication** Pages, Columns, Lines, Where Examiner Cite Kind Code of Cited Document Relevant Passages or Name of Patentee or Initials No. MM-DD-YYYY Relevant Figures Appear Office3 Number⁴ (if known) Applicant of Cited Document 24 DE 1907330 Sandoz Ltd. (Identifier only) 10/23/1969 DE 25 2 004 516 VEB Jenapharm 09/10/1970 No 26 DE 27 57 157 Schering AG 12/19/1977 27 DE 3625315 Schering A.-G (Identifier only) 01/28/1988 28 **EPO** 0166937 A2 Abbott Laboratories 08/01/1986 29 GB 857,080 12/29/1960 Syntex S.A. GB 857,081 Syntex S.A. 12/29/1960 31 GB 1,570,597 Takeda et al. 07/02/1980 DAINIPPON PHARM CO LTD В 32 42-928 01/18/1967 (abstract only) 33 JP 39-5480 В Teikoku Hormone Mfg. Co. No JP # Lilly & Co Eli (Elil) 34 58-131978 08/06/1983 No 35 JP 63090763 Α Research Development Corp. of 04/21/1988 Japan (Abstract only) 36 JP 63-119500 Dai Ichi Seiyaku Co Ltd. 05/24/1988 No Examiner Date Signature Considered

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'Unique citation designation number. 'See attached Kinds of U.S. Patent Documents. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language translation is attached.

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STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 6 of 27 Attorney Docket Number Unassigned Examiner Name Unassigned OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), sitle of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(j), volume-issue number(s), publisher, oil, wardor country where published Spoupements fonctionnels. VII. Etheylenecetals de ceto-2 steroides groupements fonctionnels. VIII. Etheylenecetals de ceto-2 ste				~	Filing Date		02/27/2004		
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Under the Paperwork Reduction Act of 1995, no person's are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Application No. 10/789,471 Substitute for Form 1449/A/PTO Filing Date 02/27/2004 INFORMATION DISCLOSURE First Named Inventor D'Amato STATEMENT BY APPLICANT Group Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned Sheet of Attorney Docket Number 05213-3001 (43170-295490) 11 27 OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials No.1 publisher, city and/or country where published 94 Author: FEVIG et al. Title: A Short, Stereoselective Route to 16c (Substituted-alkyl) estradiol Derivatives Publ: Journal of Organic Chemistry Pages: 247-251 Vol/Iss: 52 Date: 1987 Author: FIELD et al. Title: Effect of Thalidomide on the Graft versus Host Reaction Publ: Nature Pages: 1308-1310 Vol/lss: 211 (5055) Date: 09/17/66 Title: N-Methylformanilide Author: FIESER et al. Publ: Organic Synthesis Collective Volume 3 Pages: 590-591 Vol/Iss: 3 Date: 1955 Title: Molecular Features of Colchicine Associated with Antimitotic Activity and Inhibition Author: FITZGERALD of Tubulin Polymerization Publ: Biochemical Pharmacology Vol/lss: 25 (12) Pages: 1383-1387 Date: 06/15/76 Title: Studies on the Hypothetical Relationship of Thalidomide-induced Embryopathy and Author: FLOHE et al. Collagen Biosynthesis Arzneimitte/Forschung (Germany West) Pages: 315-320 Vol/Iss:31 (2) Date: 01/01/81 Author: FOLKMAN et al. Title: Angiogenesis Inhibition and Tumor Regression Caused by Heparin or a Heparin Fragment in the Presence of Cortisone Publ: <u>Science</u> Vol/Iss: 221 Date: Pages: 719-725 08/19/83 Author: FOLKMAN, J. Title: Tumor Angiogenesis: Therapeutic Implications 100 New England Journal of Medicine Pages: 1182-1186 Vol/Iss: 285 (21) Date: 11/18/71

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Complete if Known Application No. 10/789,471 Substitute for Form 1449/A/PTO 02/27/2004 Filing Date INFORMATION DISCLOSURE First Named Inventor D'Amato STATEMENT BY APPLICANT Unassigned Group Art Unit (use as many sheets as necessary) **Examiner Name** Unassigned Sheet 18 of 27 Attorney Docket Number 05213-3001 (43170-295490) OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials No. publisher, city and/or country where published Title: An approach to the synthesis of 7.beta.-amino estrogens (Abstract only) 143 Author: LOOZEN et al. Publ: Recl.: J.R. Neth.Chem. Soc. Vol/lss: 102 (10) Pages: 433-437 Date: 1983 Author: LOTTERING et al. Title: Effects of the 17β-Estradiol Metabolites on Cell Cycle Events in MCF-7 Cells (Chemical Abstracts Doc. No. 117:245769, 1992) Publ: Cancer Research Pages: 5926-5932 Vol/Iss: 52 Date: 11/01/92 Title: Effect of Components of Crowth Ether Copper(I) Iodide Mixed Catalyst on 145 Author: LUO et al. Nucleophilic Substitution of Bromoestrogen (Abstract No. 195225) Publ: Chemical Abstracts Vol/lss: 111 (21) Pages: 818, Column 1 Date: 11/20/89 Author: MARO et al. Title: Mechanism of Polar Body Formation in the Mouse Oocyte: An Interaction Between the Chromosomes, the Cytoskeleton and the Plasma Membrane Publ: Journal of Embryology and Experimental Morphology Vol/Iss: 92 Pages: 11-32 Date: 1986 Author: MAYOL et al. Title: Ethynylestradiol-Induced Cell Proliferation in Rat Liver Involvement of Specific Populations of Hepatocytes (Abstract only) Publ: Carcinogenesis Pages: 2381-2388 Vol/Iss: 13 (12) Date: 1992 Author: MICHEL et al. Title: Inhibition of synaptosomal high-affinity uptake of dopamine and serotonin by estroger agonists and antagonists (Abstract only) Biochem, Pharmacol, Vol/Iss: 36 (19) Pages: 3175-3180 Date: 1987 Title: Calcium and Oestrogen Interactions upon the Rat Thymic Lymphocyte Plasma 149 Author: MORGAN et al. Membrane (Chemical Abstracts Doc. No: 85:172052, 1976) Biochemical and Biophysical Research Communications Vol/Iss: 72 (2) Pages: 663-672 Date: 09/20/76 Examiner Date

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		(use as many sheets as necessary)	Examiner Name	Unassigned			
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